

## Article

### Fast, High-Throughput Creation of Size-tunable Micro, Nanoparticle Clusters via Evaporative Self-Assembly in Picoliter-Scale Droplets of Particle Suspension

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*Langmuir*, Just Accepted Manuscript • DOI: 10.1021/la204362s • Publication Date (Web): 19 Jan 2012

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## ABSTRACT

10 We report a fast, high-throughput method to create size-tunable micro, nanoparticle clusters via  
11 evaporative assembly in picoliter-scale droplets of particle suspension. Mediated by gravity force and  
12 surface tension force of a contacting surface, picoliter-scale droplets of the suspension are generated  
13 from a nano-fabricated printing head. Rapid evaporative self-assembly of the particles on a hydrophobic  
14 surface leads to fast clustering of micro, nanoparticles and forms particle clusters of tunable sizes and  
15 controlled spacing. The evaporating behavior of the droplet is observed in real-time and the clustering  
16 characteristics of the particles are understood based on the physics of evaporative-assembly. With this  
17 method, multiplex printing of various particle clusters with accurate positioning and alignment are  
18 demonstrated. Also, size-unifomity of the cluster arrays is thoroughly analyzed by examining the  
19 metallic nanoparticle cluster-arrays based on Surface Enhanced Raman Spectroscopy (SERS).  
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KEYWORDS: Evaporative self-assembly, Microparticle, Nanoparticle Cluster, Picoliter droplets, Fast assembly, Low particle volume fraction, Gravity, Surface tension, SERS

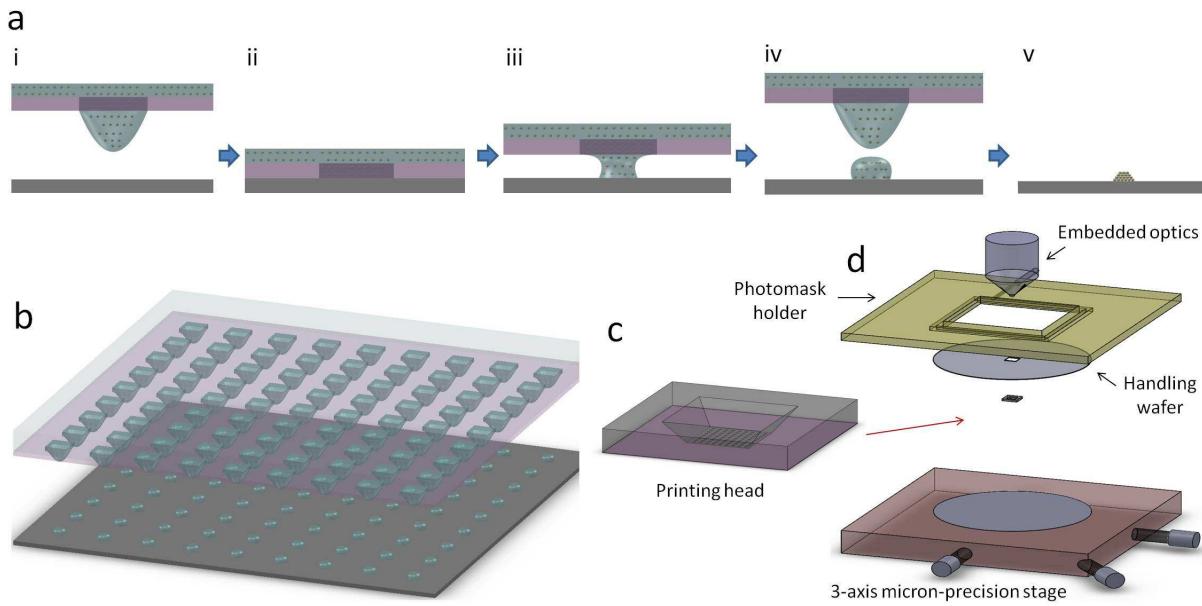
BRIEFS (WORD Style “BH\_Briefs”). If you are submitting your paper to a journal that requires a brief, provide a one-sentence synopsis for inclusion in the Table of Contents.

In cutting-edge material science, significant efforts are made to manipulate, locate, and assemble micro, nanoparticles in targeted areas for numerous applications such as three-dimensional photonic crystals<sup>1-3</sup>, circuitry of printed electronics on flexible substrates<sup>4, 5</sup>, conductometric<sup>6-8</sup>, and plasmonic-based biochemical sensors<sup>9, 10</sup>. Conventional approaches to create micro, nanoparticle assemblies - inkjet printing<sup>11-13</sup>, electrohydrodynamic jet printing<sup>14</sup>, dip-pen lithography<sup>15</sup>, Light-actuated dynamic

1 patterning<sup>16</sup>, gravure printing<sup>17</sup>, template assisted evaporative self-assembly<sup>18-20</sup> or atomic force  
2 microscopy (AFM) tip-based contact printing<sup>21-23</sup> – have achieved a number of milestones in certain  
3 applications, however, these methods still suffer from many obstacles such as: long process time (>  
4 several minutes)<sup>4, 11, 14-17, 22-29</sup>, low throughput,<sup>11, 14-16</sup> complicated and costly set-up,<sup>11, 14, 15</sup> difficult  
5 control of pattern size and resolution<sup>11, 14, 15, 17-21, 26</sup> and challenges in an accurate alignment with other  
6 features.<sup>11, 14-19, 21-23</sup> Also, large volume consumption of highly concentrated micro, nanoparticle inks  
7 increases material processing cost because the cost is mainly determined by the amount of the original  
8 materials used for synthesis and patterning of the inks. Here, we report a fast, high-throughput way to  
9 create size-controllable micro, nanoparticle clusters via evaporative self-assembly in picoliter-scale  
10 droplets of particle suspension. Mediated by gravity force and surface tension force of a contacting  
11 surface, the picoliter-scale droplets were generated on a hydrophobic surface from bulk particle  
12 suspension in a nano-fabricated printing head. Rapid evaporative self-assembly of the particles on the  
13 surface led to fast clustering of microparticles and nanoparticles and formed particle clusters. Clustering  
14 of the particles in picoliter-scale droplets was observed in real-time and studied based on the physics of  
15 evaporative-assembly. Arrays of various nanoparticle (zinc oxide, gold nanoparticles) clusters and  
16 microparticle (silica, polystyrene microspheres) clusters of controlled sizes and spacing were generated  
17 on a flat, hydrophobic substrate via fast evaporative self-assembly. The size of the clusters was tunable  
18 from single microparticle-scale (~ 1  $\mu$ m) to several hundred micro meters. Material consumption for  
19 creating clusters was lower than other existing approaches because the volume of the dispensed droplets  
20 were picoliter-scale and whole fraction of the particles were completely clustered upon the completion  
21 of the evaporation of the droplets. With this method, multiplex printing of various particle clusters with  
22 accurate positioning and alignment was demonstrated. Size-uniformity of the created clusters was  
23 thoroughly analyzed by examining uniform, large arrays of gold nanoparticle clusters based on Surface  
24 Enhanced Raman Spectroscopy (SERS). The SERS measurements showed that size-tunable, large  
25 arrays of clusters were created from one-step printing of the presented method.

## RESULTS AND DISCUSSION

## Generation of Picoliter-scale Droplets of Particle Suspension



**Figure 1.** Schematics of printing procedure. **a.** Serial processes. (i) Particle suspension menisci are extruded to the upfront end of the membrane by gravity. (ii) Contact of the head with the substrate is achieved. (iii) Surface tension of the substrate attracts a fraction of the suspension fluid. (iv) Picoliter-scale droplets are transferred to the substrate via pinch-off processes. (v) Rapid evaporative self-assembly of the particles forms 3-D clusters. **b,c.** A high-throughput printing head. **d.** A global printing system. A printing head is attached to conventional photolithography tool. 3-axis micron-precision stage and embedded optics performs accurate positioning and alignment of clusters.

Figure 1 illustrates the general procedure of printing micro, nanoparticle clusters. The printing head was fabricated by applying conventional micro-fabrication technology to SOI (Silicon-On-Insulator) substrates. Holes of the printing head were defined by photolithography and reactive-ion-etching was followed. Backside was wet-etched in order to define a reservoir region to contain micro, nanoparticle

1 suspension. After the final etching step, a microporous membrane with two hundred nanometer  
2 thickness was released on the head. It was mechanically robust and did not break by fluid rinsing steps.  
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4 After the fabrication of a die-size, printing head, the head was attached to a handling wafer and this  
5 handling wafer-printing head complex was directly attached to the mask holder of conventional UV-  
6 exposure system for photolithography. Micron-precision, three-axis stage controller of the system  
7 allocated the printing head to the targeted area aided by the optics in the system. The suspension was  
8 loaded by micropipette to the head within a few seconds and the printing head with loaded suspension  
9 was covered with a transparent glass cover slip in order to prevent premature drying during the printing  
10 processes. Since the patterning of the droplet is not greatly sensitive to the loaded volume of the  
11 suspension to the head, the inherent error caused by micropipetting was not considered to be significant.  
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13 After loading the suspension to the head ( $0.1 \mu\text{L} \sim 10 \mu\text{L}$ ), meniscus of the droplet was fully extruded to  
14 the front end of the head within a second. Direct contact of the head with the substrate was performed to  
15 transfer multiple picoliter ( $2 \text{ pL} \sim 20 \text{ pL}$ )-scale droplets of particle suspension from the bulk suspension  
16 to the substrate through porous membranes of the head. This whole printing process takes less than 5  
17 seconds. As illustrated in Figure 1, the printing process of the picoliter-scale droplets is divided into two  
18 main steps; a) Extrusion of the meniscus of the fluid and b) Transfer of the droplets to the substrate. The  
19 extrusion of fluid meniscus is driven by gravity force. If gravity force drives the fluid by overcoming  
20 pressure drop across the membrane, the meniscus is extruded. When the membrane thickness was 10  
21  $\mu\text{m}$ , pressure drop across the membrane with  $20 \mu\text{m} \times 20 \mu\text{m}$  cross sectional area was calculated to be  
22 on the order of  $10^{-1}$  Pa and the meniscus was not extruded. However, when the thickness of the  
23 membrane was 200 nm, the pressure drop across the membrane was calculated to be on the order of  
24  $10^{-3}$  Pa and gravity drove the fluid meniscus upfront the membrane. The level of extrusion is  
25 determined by the competition between inertia force of a droplet and surface tension force of the  
26 suspension fluid. In other words, if the gravity force of the fluid outdoes surface tension force of the  
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1 fluid, the transfer of the droplets is more inclined to occur. The dimensionless number, Weber number,  
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$$We = \frac{\rho V^2 D}{\sigma} = \frac{(\text{Inertia force driven by gravity})}{(\text{Surface tension force})}$$
 where  $\rho$  is the density of the solvent,  $V$  is the  
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5 characteristic velocity of the fluid inside the pore,  $D$  is the diameter of the pore and  $\sigma$  is the surface  
6 tension of the solvent, is a good parameter to analyze the relative force scale of the viscous force to the  
7 surface tension force of the fluid. When the width of each pore was 20  $\mu\text{m}$ , the pinch-off and transfer of  
8 the droplets from the bulk solvent occurred and the corresponding  $We$  was calculated to be on the order  
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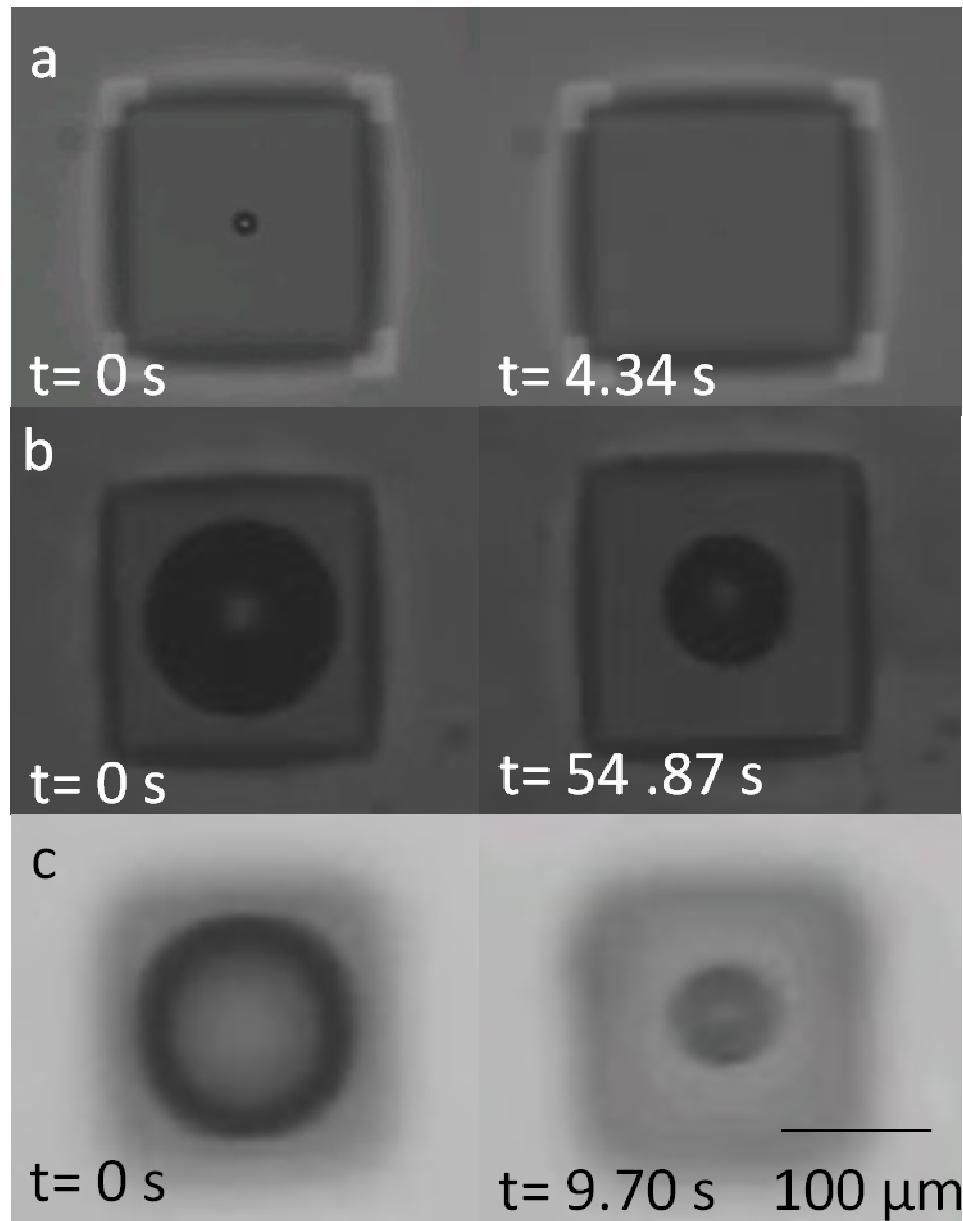
10 of  $10^8$ . However, when the width of each pore was 4  $\mu\text{m}$ , the droplets were not transferred to the  
11 substrate and the corresponding  $We$  was calculated to be on the order of  $10^{-3}$ . It implies that there exists  
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13 a minimum pore size where the transfer of the droplets from the printing head can be achieved. After the  
14 extrusion of the droplet meniscus is completed, the droplets of particle suspension are transferred to the  
15 substrate. Surface tension force of the printing head surface and the substrate are crucial factors in this  
16 step. While the extruded fluid meniscus is in contact with the substrate, the surface tension force of the  
17 substrate attracts a fraction of the fluid and picoliter scale droplets are transferred to the substrate after  
18 the pinch-off of the droplet. When the printing head surface was hydrophilic (water  $\theta_c \sim 50^\circ$ ), the fluid  
19 wet the head surface and transfer of the droplets did not occur. When a printing head surface was  
20 hydrophobic (water  $\theta_c \sim 109^\circ$ ) and the substrate was hydrophilic (water  $\theta_c \sim 50^\circ$ ), high surface tension  
21 force of the substrate attracted the fluid and most of the fluid overflowed to the substrate and droplets  
22 were merged altogether. When both the head surface and the substrate were hydrophobic, surface  
23 tension force balance between the substrate and the head was achieved and picoliter scale droplets were  
24 transferred from the head to the substrate successfully. Also, when large volume of bulk suspension was  
25 loaded to the printing head, gravity-field force overwhelms the surface tension force of the fluid-  
26 meniscus and overflow of the fluid to substrate was observed during contact. The relative ratio of the  
27 gravity force of a global droplet contained in the printing to the surface tension force of the solvent is  
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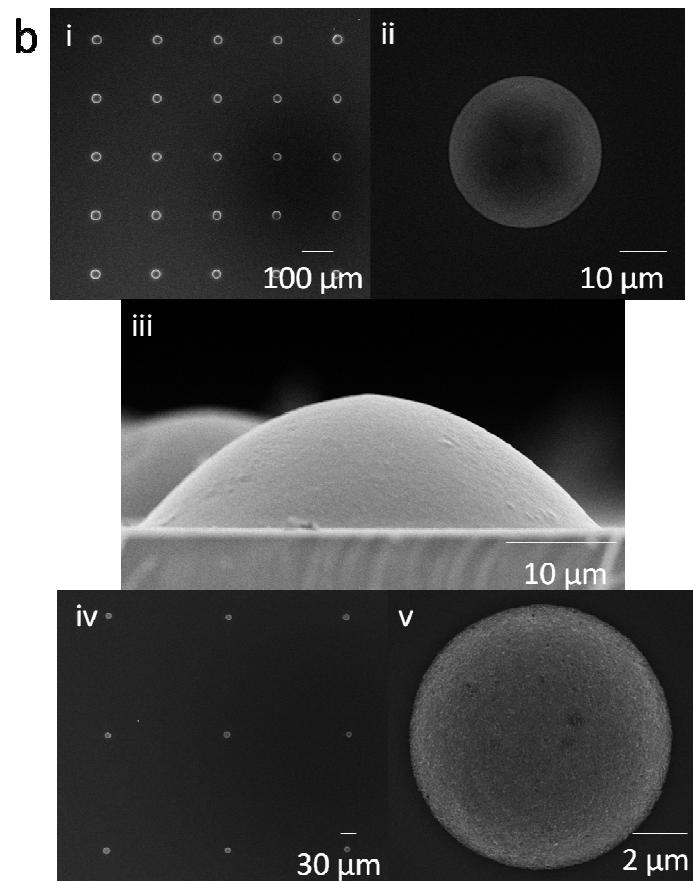
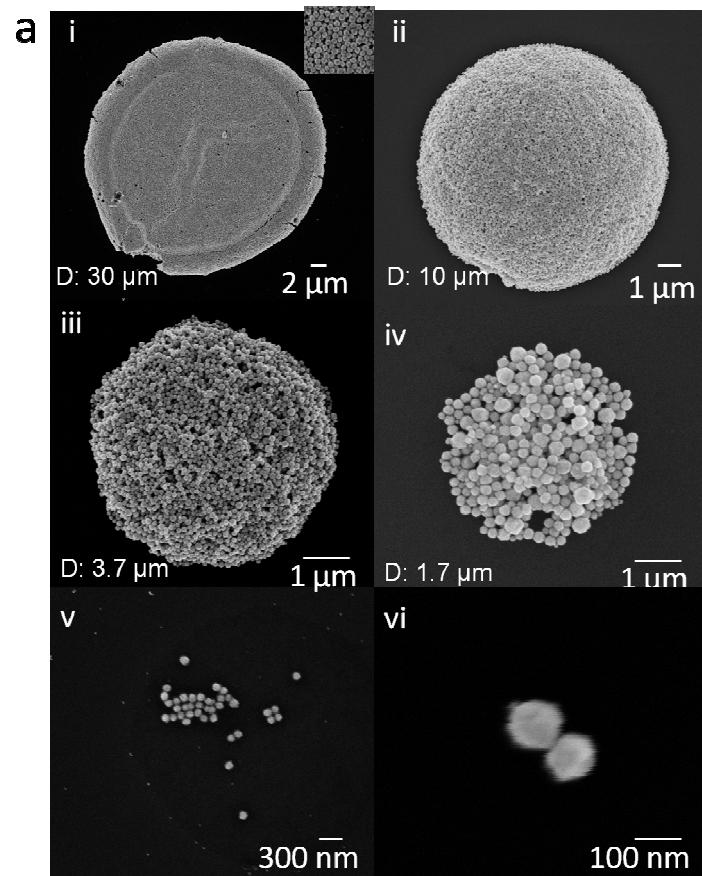
1 given by: Bond number,  $Bo = \frac{\rho g R^2}{\sigma} = \frac{\text{Gravity force}}{\text{Surface tension force}}$  where  $\rho$  is the density of the solvent, g  
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is the gravity acceleration, R is the radius of the global droplet, and  $\sigma$  is the surface tension force of the solvent. When 10  $\mu\text{l}$  volume of the bulk suspension was used with 200  $\mu\text{m}$  pore size of the head, Bo was calculated to be on the order of  $10^{-1}$ . In this case, the transferred droplets were merged together to form a single droplet and separation of the individual droplet was not achieved. However, when 0.1  $\mu\text{l}$  volume was used, the corresponding Bo was calculated to be on the order of  $10^{-3}$  and each droplet was separated implying that there exists a maximum threshold Bo number for the separation to occur. Generally, the volume of the loaded suspension does not affect the diameter of the transferred droplet on a surface significantly as long as the droplet merge does not occur. The volume of bulk suspension, pore size of the printing head and surface tension energy of the surface are critical parameters for the gravitational force, the surface tension force, and the viscous force to be placed in a right regime to accomplish successful transfer of the droplets. It is observed that the types of solvents, the types of the particles and the volume fraction of the particles affect the diameter of the transferred droplet since those factors determine the viscous and gravitational forces of each droplet. Creating a phase diagram for dimensionless parameters by incorporating various parameters of the suspension to predict the feasibility of the contact printing would be interesting subject of future work. Detailed calculations for this scaling analysis and pressure loss are included in supporting information.

#### Rapid Evaporative Self-Assembly of Micro, nanoparticles on a Hydrophobic Surface



**Figure 2.** Optical snapshots of evaporating droplets after the pinch-off. (left) Right after the pinch-off. (right) After the completion of the evaporation. **a.** Evaporation of  $5 \times 10^{-3}$  wt% gold nanoparticle suspension. A zoomed-in image is found in Figure 4-d(iv). **b.** Evaporation of 1 wt% zinc oxide nanoparticle suspension. **c.** Evaporation of 0.25 wt% zinc oxide nanoparticle suspension.



**Figure 3.** SEM views of nanoparticle clusters. **a.** Gold nanoparticle (diameter ~ 100 nm) clusters printed from 200  $\mu\text{m}$  head pore size. (i) A 30  $\mu\text{m}$  diameter cluster printed from  $5 \times 10^{-1}$  wt%. (ii) A 10  $\mu\text{m}$  diameter cluster printed from  $5 \times 10^{-2}$  wt%. (iii) A 3.7  $\mu\text{m}$  diameter cluster printed from  $5 \times 10^{-3}$  wt%. (iv) A 1.75  $\mu\text{m}$  diameter cluster printed from  $5 \times 10^{-4}$  wt%. (v) Dispersed particle clusters printed from  $5 \times 10^{-5}$  wt%. (vi) A bi-particle cluster printed from  $5 \times 10^{-6}$  wt%. **b.** Zinc oxide nanoparticle (diameter ~ 30 nm) clusters. (i-iii) 30  $\mu\text{m}$  diameter clusters printed from 40  $\mu\text{m}$  head pore size and 40 wt% concentration suspension. (i) High-throughput nanoparticle clusters. (ii) A close view of a single cluster. (iii) A cross sectional view of a single pattern (iv,v) 8  $\mu\text{m}$  diameter clusters printed from 40  $\mu\text{m}$  head pore size and 1 wt%. (iv) High-throughput nanoparticle clusters (v) A close view of a single cluster.

Evaporative self-assembly of micro, nanoparticles on a hydrophobic surface is a key phenomenon in forming 3 D, high-aspect ratio particle clusters. It was previously reported that particles in colloidal suspensions form defect-free, 3 D lattices after evaporation of the drops on hydrophobic surfaces.<sup>17, 21, 23</sup>,  
Although some works featured rapid assembly during the formation of the lattices<sup>31, 35, 37</sup>, quantitative, systematic studies of the evaporative self-assembly on a hydrophobic surface have not been performed due to lack of experimental platforms to observe evaporation processes. In this work, high optical transparency of a thin membrane of the printing head and low-concentrated suspensions enabled the real-time observation of the convective motion of particles through the optics in the system. Although it is still challenging to identify the exact particle clustering time, the time required for the evaporation of the picoliter-scale droplets, which is very valuable information to examine the kinetics of evaporative self-assembly of the particles, was able to be acquired through this method. Evaporation of picoliter-scale droplets of nanoparticle (100 nm Gold, 30 nm zinc oxide) suspension was monitored by optical microscope in the system. Video clips for pinch-off and evaporation of picoliter-scale droplets of 0.005 wt % Au nanoparticle suspension, 0.25 wt % ZnO nanoparticle suspension, and 1 wt % ZnO nanoparticle suspension are available in Supporting Video 1,2,3, accordingly. Once the picoliter-scale droplet was generated by contacting the printing head with the substrate and following droplet pinch-

1 off, contact line of the droplet receded very fast and the evaporation of the droplet was completed by  
2 forming particle deposits at the center of initial droplet-contact line as shown in Figure 2 a. Generally,  
3 from macro to microliter scale of the droplet, either ring deposits are generated at the perimeter of the  
4 droplet by coffee-ring effect in case of high fraction suspension<sup>20, 38, 39</sup> or non-uniform deposits are  
5 generated inside the perimeter by Marangoni effect<sup>20, 40</sup>. According to the literatures<sup>41-43</sup>, there are  
6 exceptional cases where a partial fraction of the particles are centered along with coffee ring deposits at  
7 certain special conditions, however, complete centering of all particles has not been reported yet. This is  
8 attributed to the fact that previous printing technologies were not capable of monitoring both the  
9 generation and the evaporation of picoliter-scale droplets of low concentrated-particle suspension  
10 simultaneously in-real time. This unique evaporating characteristic is explained by the scaling effect of  
11 picoliter scale droplets. Evaporation time of a droplet scales quadratically with length-scale of the  
12 droplet.<sup>44, 45</sup> In evaporating, picoliter scale droplets on a hydrophobic surface, the evaporative rate is  
13 100 times faster than microliter scale droplets and the evaporation proceeds by shrinking the contact line  
14 at constant contact angle mode<sup>44</sup>. In this mode, the transport of the particles is mainly dominated by the  
15 evaporation of the droplet, not the convective transport induced by hydrodynamic effect or thermal  
16 gradient of the droplet-Marangoni effect. Along with shrinking perimeter by evaporation, all particles in  
17 the droplet are centered. Generally, hydrophilic particles such as gold nanoparticles or silica  
18 microparticles, are not attracted to the hydrophobic substrate. Instead, dominant inter-particle forces  
19 such as electrostatic forces or van der waals force cluster the particles during the evaporation of the  
20 droplet.<sup>20</sup> If the evaporation time is longer than clustering time, all particles are clustered first and  
21 centered after the completion of the evaporation. However, if the particle concentration is extremely  
22 low, inter-particle forces decrease and clustering of the particle proceeds slowly. Assuming that the  
23 change of low volume (weight) fraction of the particles does not affect the evaporation time of the fluid,  
24 there could exist a critical concentration where the deposition of the particles on the substrate is initiated  
25 before clustering of the particle is completed. In Figure 3 a, centering of the particles was observed until  
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1 particle fraction of  $5 \times 10^{-4}$  wt% and dispersed clusters were observed from particle fraction of  $5 \times 10^{-5}$   
2 wt%. It implies that the critical concentration for this particular system lies between those two  
3 concentrations. However, when hydrophobic particles such as zinc oxide particles were used, the  
4 particles are also attracted to the hydrophobic substrate. In this case, the particles are pinned at the  
5 contact line when the particle concentration of the droplet reaches to critical concentration.<sup>39</sup> As shown  
6 in Figure 2 b-c, when the concentration was very high, up to 40 wt%, the pinning of the particles  
7 occurred immediately after the droplet was transferred to the substrate. However, when the  
8 concentration was low, down to 1 wt%, the evaporation of the suspension had proceeded first and the  
9 pinning of the particle begun when particle concentration inside the droplet reached to the critical  
10 concentration required for contact-line pinning. As a result, different concentrations of the particle  
11 suspension caused different timing of contact-line pinning. When the contact-line pinning was initiated  
12 earlier, the zinc oxide nanoparticle clusters of larger diameters were printed while the clusters of smaller  
13 diameters were when the pinning began later as shown in Figure 2 b-c and Figure 3 b. Along with the  
14 initial volume fraction of the particles in the original suspension, initial timing for contact line pinning  
15 determines the diameter of the zinc oxide nanoparticle clusters. Also the cross-sectional view of the zinc  
16 oxide nanoparticle clusters shows that its high-aspect ratio is lower than that of the gold nanoparticle  
17 clusters because some fraction of the zinc oxide nanoparticles were deposited downward and overall  
18 shape of the structures became widespread while all the gold nanoparticles were centered and formed  
19 the high-aspect ratio clusters. The pitch of the clusters was determined by lithographically defined pitch  
20 of the pores of the printing head and the size of the clusters was controlled by the volume fraction of the  
21 particles in the suspension and solvent drying behavior during the evaporation.

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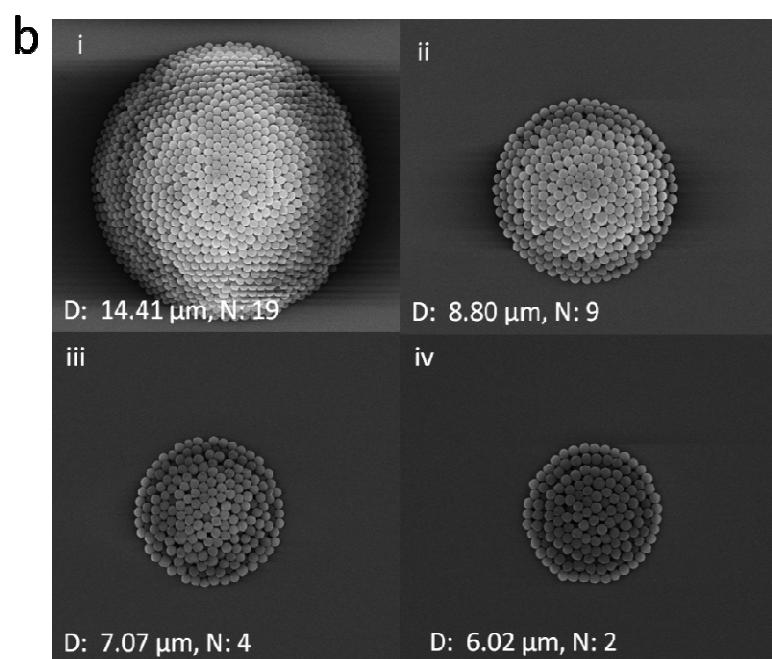
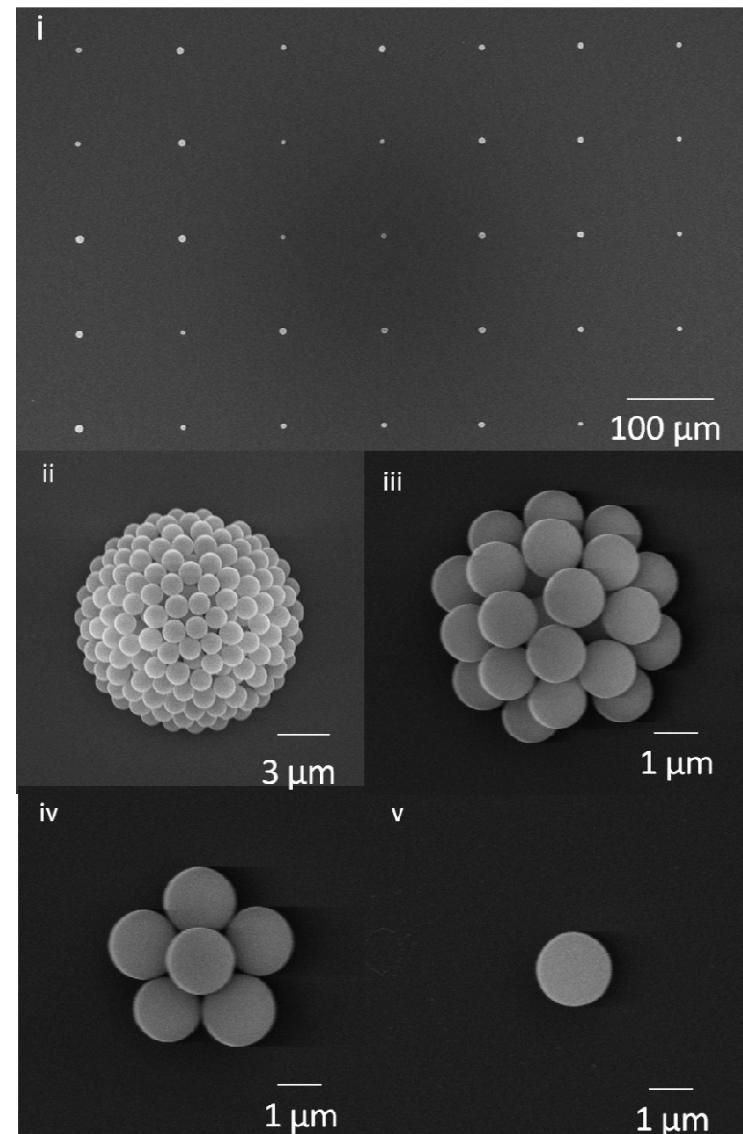
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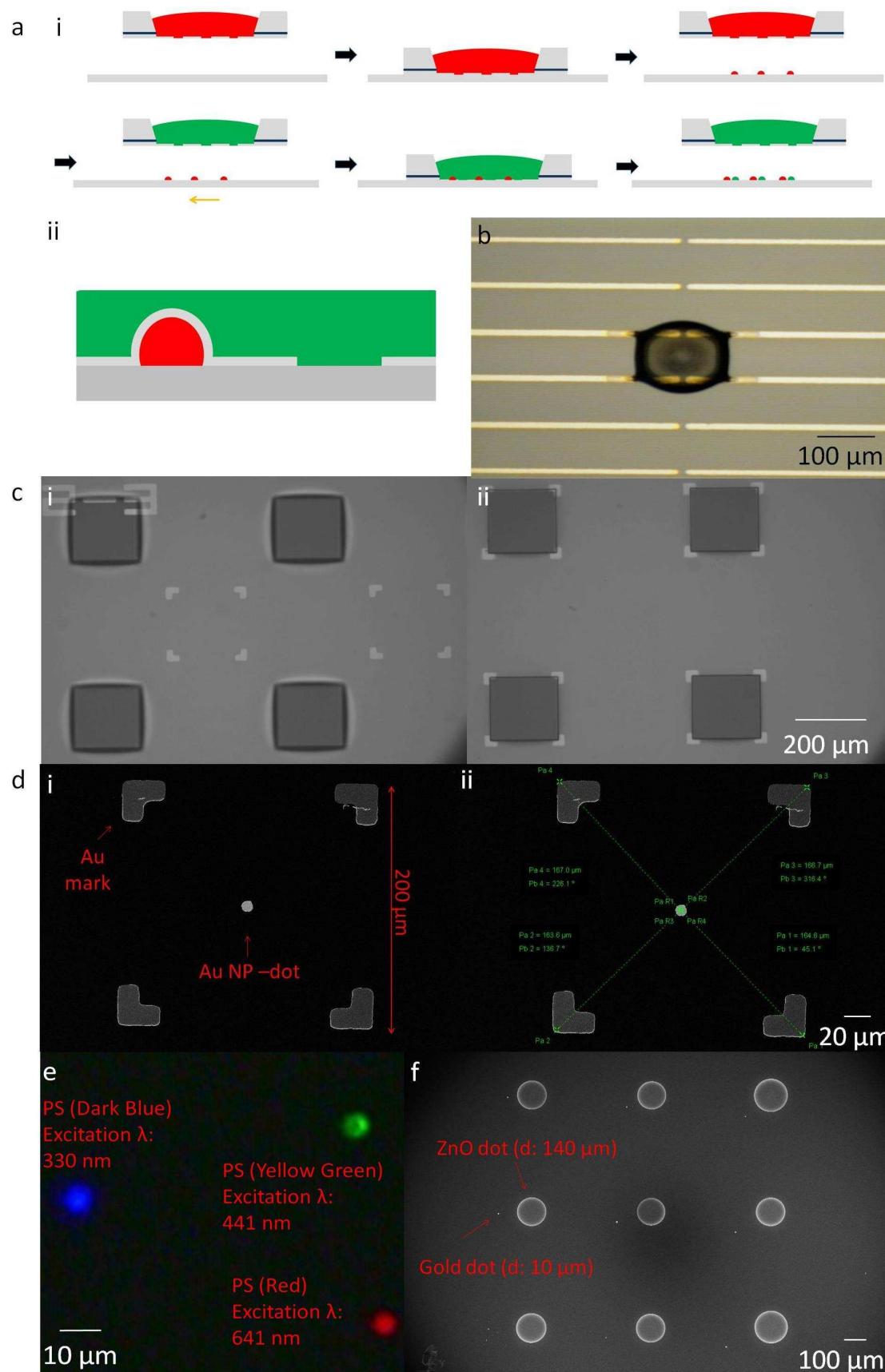
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**Figure 4.** SEM views of microparticle clusters. **a.** silica microsphere (diameter ~ 1  $\mu\text{m}$ ) clusters. (i) High-throughput clusters. (ii) A 10  $\mu\text{m}$  diameter-cluster printed from 20  $\mu\text{m}$  head pore size and 5 wt% (particle fraction) concentration. (iii) A 5  $\mu\text{m}$  diameter-cluster printed from 20  $\mu\text{m}$  head pore size and 2 wt% (particle fraction) concentration. (iv-v) Clusters printed from 20  $\mu\text{m}$  head pore size and a wt% (particle fraction) concentration. (iv) A 5-particle cluster. (v) A single particle. Note that the number range of particles are from 1 ~5 for entire area. This variation is caused by inherent statistical distribution of captured particle numbers per each pore. **b.** polystyrene microsphere (diameter ~ 1  $\mu\text{m}$  clusters). Clusters printed from (i) 0.34 wt % (ii) 0.68 wt % (iii) 1.35 wt % (iv) 2.70 wt % concentration. The number of layers, N, is corresponding to the center of the patterns.

Arrays (~ 100 patterns) of microparticle (silica, polystyrene microparticles) clusters were printed on a hydrophobic substrate as demonstrated in Figure 4. Contact-line pinning of the particles did not occur because the hydrophilic particles were not attracted to the substrate. By simply diluting or concentrating the suspension, the size of the cluster was controlled. Contrary to the gold nanoparticle clusters, a critical concentration where clustering of the particles stops was not observed and even a single particle was centered. It is speculated that the lowest particle concentration, 1 wt%, still generates sufficient inter-particle forces to cluster particles within the evaporation time of the droplets. Clustering behavior of various particles in picoliter scale droplets is expected to generate numerous subjects of studies on fundamental colloidal science. Also, with this method, most of micro, nanostructures, or even biological particle clusters such as protein clusters, DNA clusters with any size and spacing will be able to be created on a hydrophobic surface.

## Multiplexed Printing of Micro, nanoparticle Clusters



**Figure 5.** Multiplexed printing of micro, nanoparticle clusters. **a.** Schematics of multiplex printing

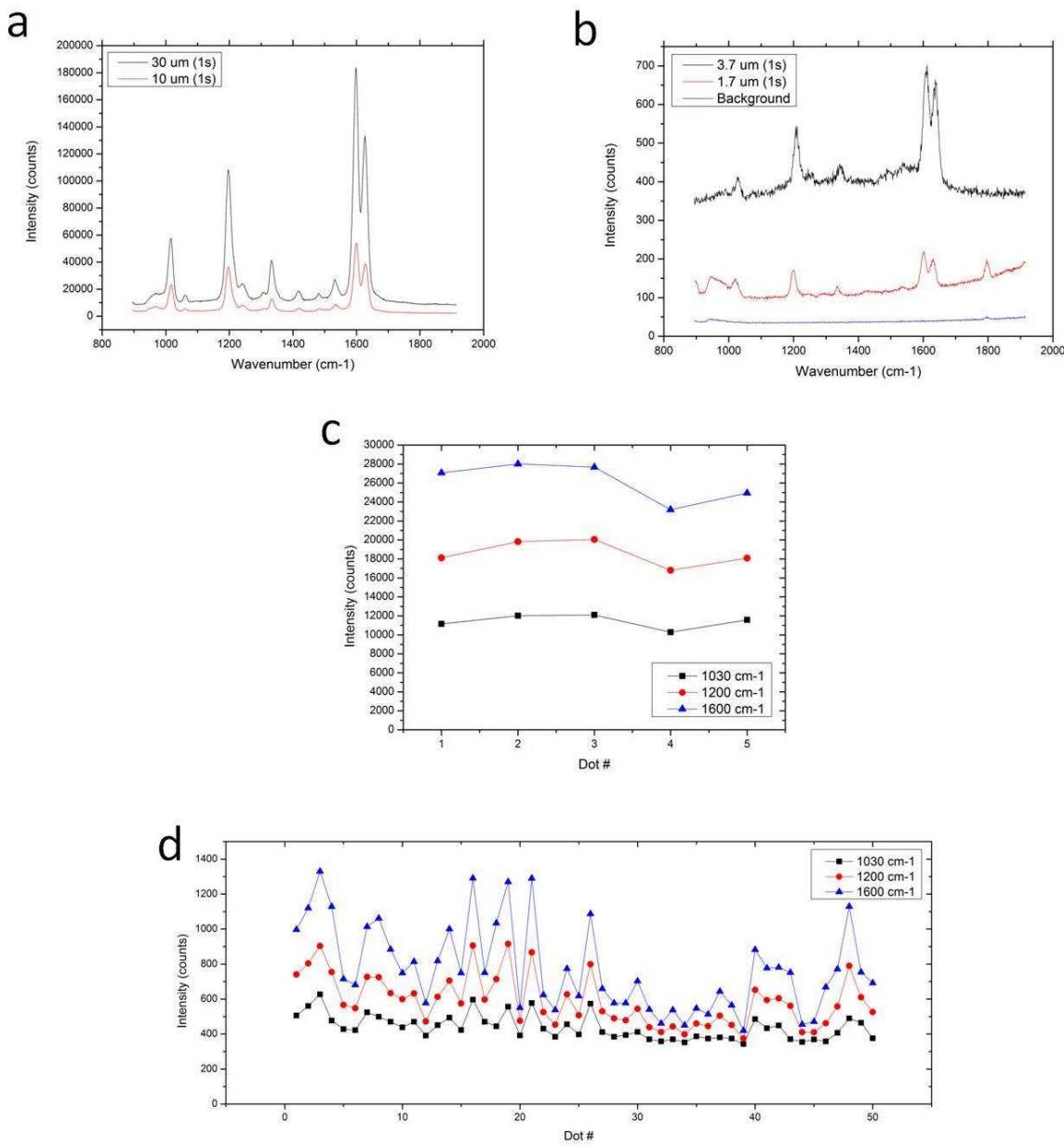
1 procedures. (i) A schematic of a series of printing methods. After an initial printing, the next step  
2 printing is achieved after the alignment of the head is achieved. (ii) An illustration of a deformed head  
3 membrane according to the previously printed clusters. **b.** A zinc oxide nanoparticle cluster (diameter:  
4 40 nm) printed on gold electrodes (thickness: 25 nm) with alignment. The suspension concentration is  
5 40 wt %. **c.** Top views from the printing head side. (i) Before alignment. (ii) After alignment.  
6 Alignment is achieved by manipulating a micro-precision stage. **d.** SEM views of gold nanoparticle  
7 clusters (diameter: 100 nm) (i) A centered gold nanoparticle cluster with respect to gold alignment  
8 marks. (ii) An analyzed image of alignment accuracy. The accuracy is approximately 4  $\mu\text{m}$ . **e.** Layover  
9 images of three different fluorescence images. Three different combinations of excitation filter and  
10 emission filter were used to acquire four different types of fluorescence light. **f.** Multi-step printing of  
11 zinc oxide nanoparticle (diameter: 140  $\mu\text{m}$ ) and gold nanoparticle clusters (diameter: 10  $\mu\text{m}$ ). Smaller  
12 clusters were printed first to prevent breaking the membrane.

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29 A flexible membrane allowed the deformation of the head according to the previous clusters as  
30 illustrated in Figure 5 a. At given high aspect ratio, 0.33, a membrane (thickness: silicon: 100 nm,  
31 silicon nitride: 100 nm) was able to be conformed to 14  $\mu\text{m}$ -height structure without breaking. Video  
32 clips for testing the flexibility of the membrane with ZnO clusters of 60  $\mu\text{m}$  and 13  $\mu\text{m}$  height are  
33 available in Supporting Video 4, 5. Multi-layer process capability was demonstrated by printing zinc  
34 oxide nanoparticle clusters on gold electrodes. For the droplets of highly concentrated (~ 40 wt%)  
35 suspensions, contact line of the droplet was pinned and nanoparticles were continuously deposited on  
36 the electrodes-substrate interface as shown in Figure 5 b. A thin, single crystalline porous silicon  
37 membrane of the printing head has optical transparency over the visible light spectrum and the location  
38 of the printing head was monitored by optical microscope through the membrane. The location of the  
39 head was controlled by micron-axis stage of the stage as presented in Figure 5 c-d. The accuracy was  
40 realized to the equivalent level of conventional contact photolithography (~ 4  $\mu\text{m}$ ). A video clip for  
41 serial positioning and printing of gold nanoparticle clusters is available in Supporting Video 6. Also, as  
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1 shown in Figure 5 e-f, the alignment of the clusters with respect to previously printed clusters was  
2 demonstrated. Multiplex printing of fluoro-microsphere clusters with different excitation/emission  
3 wavelengths were performed and visualized by fluorescence microscopy. Also, gold and zinc oxide  
4 nanoparticle clusters were printed on a target spot and the clusters were accurately positioned. Smaller  
5 patterns were created first to prevent the breaking of the membrane.  
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#### 11 12 13 14 **Study on Size-uniformity of Particle Cluster Arrays by SERS Measurement** 15 16 17 18

19 Size-uniformity of large arrays of gold nanoparticle clusters was thoroughly analyzed by Surface  
20 Enhanced Raman Spectroscopy (SERS) measurement. Usually, commercially available metallic  
21 nanoparticle suspension contains very low fractions of nanoparticles due to the presence of strong  
22 attractive inter-particle forces and high material costs. Typically, SERS measurement is performed on  
23 naturally evaporated deposits of metallic nanoparticles. Thickness variation of the deposits is randomly  
24 fluctuating because natural evaporation of a suspension droplet does not provide any means to control  
25 geometry of the deposits. Also, a large volume of suspension is required to generate thicker deposits for  
26 stronger signal intensity. With the presented method, high-aspect ratio, gold nanoparticle (diameter: 100  
27 nm) clusters of various sizes (diameter~ 30  $\mu$ m, 10  $\mu$ m, 3.7  $\mu$ m, 1.75  $\mu$ m) were printed from low  
28 volume suspension (0.5 ~ 1.0  $\mu$ L) of low particle fraction ( $5 \times 10^{-4}$  wt % ~  $5 \times 10^{-2}$  wt %). The printed  
29 clusters were immersed in trans-1,2-bis(4-pyridyl)-ethylene (BPE) solution for 2 hours prior to the  
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**Figure 6. a-b.** Surface Enhanced Raman Spectroscopy (SERS)-spectrum of trans-1,2-bis(4-pyridyl)-ethylene (BPE) molecules-absorbed on gold nanoparticle clusters. **a.** Spectrum from 30 μm and 10 μm diameter gold nanoparticle clusters. **b.** Spectrum from 3.7 μm and 1.75 μm diameter gold nanoparticle clusters and background substrate. The signal intensity drastically increases as the diameter increases. **c-d.** SERS signal intensity variation of the high-throughput gold nanoparticle clusters for size-uniformity characterization. **c.** 5 gold nanoparticle clusters (average diameter: 10 μm). **d.** 50 gold dots

1 (average diameter: 3.7  $\mu\text{m}$ ).  
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As shown in Figure 6, strong, uniform SERS signal was obtained from gold nanoparticle clusters. In Figure 6 a-b, the intensity of SERS signal from the cluster was significantly modulated by the small change of cluster size. The magnitude of the order of the signal intensity changed  $10^6$  times as the diameter scaled up 10 times. The result implies that the intensity of SERS signal from the cluster is a good measure to identify size-uniformity of the cluster arrays. The size-uniformity of the clusters was analyzed by measuring SERS signal of the clusters as presented in Figure 6 c-d, The clusters of two different sizes (Diameter: 10  $\mu\text{m}$ , 3.7  $\mu\text{m}$ ) were used for the measurement. The deviation of the signal is less than  $\pm 10\%$  from the measurement on 5 clusters of 10  $\mu\text{m}$  diameter. Also, the measurement on 50 clusters of 3.7  $\mu\text{m}$  diameter shows the deviation was around  $\pm 10 \sim 50\%$ . The intensity of SERS signal is roughly proportional to the number of molecules attached to the particle surfaces and the signal is also proportional to the volume of the patterns, which means the signal scales cubically with length of the cluster. This argument leads to the estimates that variation of the cluster diameter is within 20 % in the array. We anticipate that this uniformity will be greatly improved if an additional pressure source is implemented to the printing head for flattening inherent meniscus effect of the suspension. These preliminary measurement results show good potential to apply this method to manufacture micro-scale devices in material science with low material consumption.

## CONCLUSION

A fast method to create high-throughput, size-tunable micro, nanoparticle clusters is developed by applying evaporative-self assembly to picoliter scale droplets of particle suspension. Formation of the droplets from a nano-fabricated printing head is driven by gravity force and surface tension force of a

1 contacting surface. Fast evaporation of the droplets on a hydrophobic surface drives the fast, complete  
2 clustering of the particles when the particle clustering time is shorter than the evaporation time of the  
3 droplet. Based on this method, multiplex printing of various particle clusters is demonstrated with  
4 accurate positioning and alignment capability. Size-uniformity of the large cluster arrays is examined by  
5 SERS measurement and demonstrated to be fine. The presented method provides new revenues to study  
6 fundamental colloidal science in a more controllable way and also show potential to manufacture micro,  
7 nanoparticle devices in material science with low material processing cost.  
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## 18 METHODS

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22 **Materials.** A liquid suspension of silica microspheres (Silicon dioxide-based micro particles; diameter,  
23 1, 3, and 5  $\mu\text{m}$ ; water, 95 wt %; silica: 5 wt %, Sigma-Aldrich) and a liquid suspension of fluorescent  
24 microspheres (Fluoresbrite Carboxylate<sup>R</sup>; diameter, 0.5  $\mu\text{m}$ ; excitation wavelength maxima: 360 nm,  
25 441 nm, 529 nm, 641 nm; water, 97.5 wt %; polystyrene microspheres: 2.5 wt %, Polysciences, Inc.) was  
26 diluted into various concentrations of suspension (1.25, 0.625, and 0.3125 wt % solutes). Zinc oxide  
27 nanoparticles (diameter, 30 nm; water, 60 wt %; ZnO, 40 wt %; MK nano) were diluted into various  
28 concentrations of suspension (2.5, 1.25, 0.625, and 0.3125 wt % solutes) by adding a controlled volume  
29 of deionized water. A liquid suspension of gold nanoparticles (diameter, 100 nm; water, 99.995 wt %,  
30 Au,  $5 \times 10^{-3}$  wt %; Nanopartz) was diluted into various concentrations of suspension ( $5 \times 10^{-4}$  wt %,  $5 \times$   
31  $10^{-5}$  wt %, Au nanoparticles) and also was concentrated by 10 times ( $5 \times 10^{-2}$  wt %, Au nanoparticles).  
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48 **Fabrication of the printing heads.** A 6 inches-Silicon-on-Insulator (SOI) wafer (Top device layer:  
49 silicon 100 nm, Buried oxide layer: silicon dioxide 400 nm, Base: silicon 650  $\mu\text{m}$ , *SOITEC*, France) was  
50 used for the fabrication of the printing head. The backside of the SOI wafer was grinded by 400  $\mu\text{m}$  to  
51 reach the final thickness of 250  $\mu\text{m}$  and polished. Low-stress silicon nitride film of 35 nm was  
52 deposited on both sides of the wafer by Low-Pressure Chemical Vapor Deposition (LPCVD) for  
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1 generating masking materials of wet-etching process. Then, back-side of the wafer was spin-coated with  
2 photoresist and baked at 120 C for 5 min and placed in Buffered-Oxide-Etcher (BOE, BHF: DI water =  
3 10:1) for 10 hours to etch the silicon nitride layer of top-side of the wafer. After complete etching of the  
4 silicon nitride layer on the top-side, the photoresist was removed by photoresist removal (PRS-3000,  
5 *J.T. Baker*, USA) overnight. The wafer was diced into 1 cm × 1 cm dies and both top and back sides of  
6 each die were coated with photoresist. Photolithography was performed on top-side to define holes for a  
7 porous membrane of the printing head and Reactive-Ion Etching to etch top side silicon through the  
8 defined photo-patterns was followed by taking advantage of buried oxide as an etch-stop layer. After the  
9 removal of the photoresist, the top and backsides of the chip were coated with photoresist and reservoir  
10 area of the bulk suspension was defined by photolithography followed by RIE etching to open area for  
11 silicon wet-etching. Before wet-etching of bulk silicon layer, top-layer was coated with 10  $\mu\text{m}$ -thickness  
12 protective polymer (ProTEK B3, *Brewer science*, USA) to protect porous membrane structures on top-  
13 side from being etched by wet-etchant. The prepared chips are wet-etched for 4 hours in the bath where  
14 15 Liter of 5 % Tetramethylammonium hydroxide (TMAH) solution was prepared at 90 C°. After wet  
15 etching is completed, the protective polymer was removed by PRS-3000 / IPA / water rinse and BOE  
16 etching (5:1 BHF, 5 minute at room temperature) was followed to etch a buried oxide layer completely.  
17 By design, thin, microporous membrane is released where the area of the membrane is 2 mm × 2 mm.  
18 The membrane side of the fabricated devices was treated by oxygen plasma (300 Watt, 250 mTorr, 1  
19 minute) to eliminate possible residue of protective polymer and the devices were placed on hot plate at  
20 120 C° overnight to neutralize charge state of the membrane surface from hydrophilic state. Finally, the  
21 fabricated printing heads were coated with Fluorooctatrichlorosilane (FOTS) monolayer by Metal-  
22 Organic Chemical Vapour Deposition (MOCVD) to maintain the top side of membrane hydrophobic.  
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26 **Fabrication of the handling wafer.** A 4 inch-silicon wafer (Lightly p-type doped, Thickness: silicon  
27 500  $\mu\text{m}$ ) was used for the fabrication of the handling wafer. Low-stress silicon nitride film of 35 nm was  
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1 deposited on both sides of the wafer by Low-Pressure Chemical Vapor Deposition (LPCVD) for  
2 generating masking materials of wet-etching process. Top side of the wafer was coated with photoresist.  
3 Photolithography was performed on top-side to define a wafer-through hole (6 mm × 6 mm square) and  
4 Reactive-Ion Etching of silicon nitride layer through the defined photo-patterns was followed to define  
5 etching area. The wafer is wet-etched for 16 hours in the bath where 15 Liter of 5 %  
6 Tetramethylammonium hydroxide (TMAH) solution was prepared at 90 C°. After wet etching is  
7 completed, the protective polymer was removed by PRS-3000 / IPA / water rinse and the surface of the  
8 wafer was cleaned by oxygen plasma (300 Watt, 250 mTorr, 1 minute) to eliminate the residue of the  
9 protective polymer.  
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24 **Fabrication of the printing head- handling wafer complex.** At first, a fabricated handling wafer was  
25 spincoated with photoresist. The printing head is placed on the center of handling wafer in a way that  
26 the membrane of the printing head is exposed to air without any blocking. Then, the printing head - the  
27 handling wafer with photoresist complex are baked together at 120 C° for 1 minute on hot plate with  
28 heavy load press to promote the adhesion between the printing head and the handling wafer.  
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38 **Micro, nanoparticle printing.** A printing head – handling wafer complex was attached to a mask  
39 holder of uv-exposure system for contact- photolithography (MA/BA6, Suss MicroTec, Germany) via  
40 vacuum. The mask holder was inserted to the system and a substrate for printing was loaded to the  
41 stage. After loading the substrate, the alignment of the printing head to the patterns on the substrate  
42 wafer was performed and the spacing gap between the printing head and the substrate was controlled  
43 and placed by taking advantage of the embedded electronics of the system. The minimum threshold gap  
44 was a half of the width of pore size of the membrane. The micro, nanoparticle suspension was loaded to  
45 the reservoir of the printing head. Initially, 10 µl volume of the suspension was loaded by micro-pipette  
46 to the reservoir forming a circular contact line of an overflowed droplet on top of the fluid-cell as shown  
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1 in Figure S1. Then, the meniscus of the suspension was flattened by drawing excessive volume of the  
2 suspension to ensure two-dimensional symmetry of the meniscus surface is not perturbed. A thin, glass  
3 cover-slide was capped on the mask holder to prevent the evaporation of the suspension during printing.  
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5 The contact of the extruded membrane of the printing head was performed by driving the mask holder to  
6 the substrate. After 1 second of the contact, the printing head was driven back to the original position  
7 and the evaporation of the printed droplets were observed in-real time by optical microscope embedded  
8 in the printing system in case the concentration of the suspension was sufficiently low to be transparent.  
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10 After the evaporation of the droplets was completed, the substrate with printed patterns was unloaded.  
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22 **Fluorescence imaging set-up and image analysis.** Fluorescence imaging was performed using a Nikon  
23 TE 2000 inverted fluorescence microscope. A different filter cube is used for each microparticle to  
24 match the emission and excitation wavelengths. The acquired images were overlaid to obtain the final  
25 image. Obtained fluorescence images are analyzed by free software, Pixel View (Global Systems  
26 Science, Regents of the University of California). Each pixel of the image is dissected into Red, Green,  
27 Blue component color intensity (%) by this software. Blue color intensity of the inner-most pixel of the  
28 images from polystyrene beads with dark blue fluorescence tags was analyzed to perform calibration the  
29 number of polystyrene beads versus blue color intensity.  
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43 **Surface Enhanced Raman Spectroscopy (SERS) measurement set-up.** The SERS measurements  
44 were performed with a Raman setup built around an inverted microscope (Nikon TE2000). A 10x  
45 objective lens was used to focus the laser (785 nm, 30 mW) onto the sample and collect the SERS  
46 signal. The collected signal is passed onto a spectrometer (Princeton Instruments, SP2300) for detection.  
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57 **Table 1.** Summary of data shown in Figure 6 c-d.  
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	Average Intensity (count)	Standard deviation	Uniformity (%)
1030	439	70.9	16.2
1200	591	145	24.6
1600	776	249	32.0
10 11 12 13 14 15 16 17 18	10 $\mu\text{m}$ diameter patterns (5 dots)		
	Average Intensity (count)	Standard deviation	Uniformity (%)
1030	11428	744	6.51
1200	18577	1350	7.29
1600	26180	2050	7.84

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#### ACKNOWLEDGMENT

This work was supported by a grant (2009K000069) from Center for Nanoscale Mechatronics & Manufacturing (CNMM), one of the 21st Century Frontier Research Programs, which are supported by Ministry of Education, Science and Technology, Korea. S. Choi also gives thanks for his graduate fellowship from Samsung Scholarship Foundation.

**Supporting Information Available:** Detailed calculations for scaling analysis and pressure loss calculation based on fluid mechanics, and estimating the number of the nanoparticle clusters, n, in 30

μm diameter clusters are available in supporting information.

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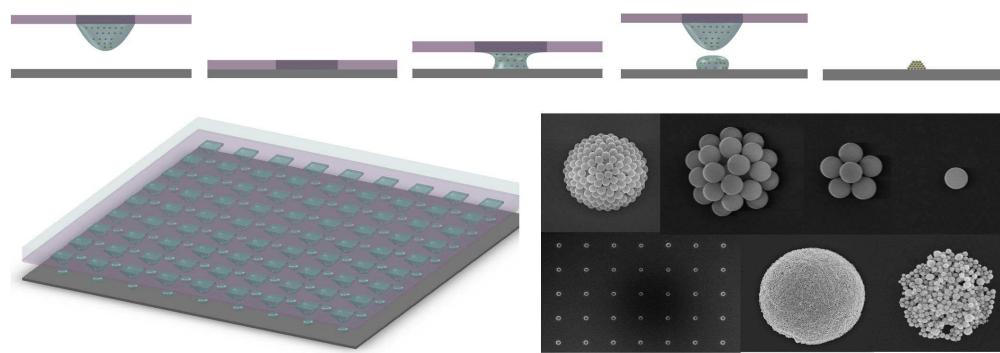
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